

FINAL REPORTS ISSUED	03 August to 08 November 2013
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ref	Audit	Risk	Original potential days	Final Report Issued	Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
KF06 C	Corporate Income	2	10	26/09/13	13	3	0	0	3	0	Adequate
KF17 O	Treasury Management	2	5	05/11/13	8	2	0	0	2	0	Substantial
COR18	<i>Corporate Governance & AGS</i>	3	10	26/09/13	7.5	<i>n/a</i>	<i>n/a</i>	<i>n/a</i>	<i>n/a</i>	<i>n/a</i>	<i>No report issued</i>
COR24	Corporate Performance Management	2	10	28/10/13	13	5	0	0	5	0	Adequate
OP27	Emergency Planning	3	10	09/08/13	7.5	3	0	0	3	0	Adequate
OP28	Environmental Health	3	15	27/09/13	16	4	0	0	4	0	Adequate
total						17	0	0	17	0	

PERFORMANCE AGAINST the 2013/14 AUDIT PROGRAMME
(reviewed October 2013)**03 August to 08 November 2013**

ref	Audit	Risk 13/ 14	Qtr	revised potential days	original potential days	Started	Draft Report issued	Final Report Issued	Days Taken	Status	Comment
	Key Financial - Corporate										
KF01 C	General Ledger	4	3	2	15	21/10/13			0.5	planning	
KF02 C	Cash & Bank	2	3	3	10						
KF03 C	Corporate Asset Management	2	4	5	5						
KF04 C	Corporate Budgets	2	3	5	5	08/10/13			1	planning	
KF05 C	Corporate Contracts & Procurement	2	2	15	10	22/07/13	07/10/13		16	draft	
KF06 C	Corporate Income	2	1	10	10	01/05/13	19/09/13	26/09/13	13	final	
	Key Financial - Operational										
KF07 O	Council Tax	4	3	15	10	21/10/13			1	planning	
KF08 O	Creditors	4	3	10	10	21/10/13			2	planning	
KF09 O	Housing Benefits	4	3	5	10	30/10/13			0.5	planning	
KF10 O	Housing Rents	4	3	5	10	05/11/13			0.5	planning	
KF11 O	Housing Repairs	4	3	15	5	21/10/13			1.5	planning	
KF12 O	NNDR	4	3	15	10	28/10/13			1.5	planning	
KF13 O	Payroll & HR	4	3	15	15	28/10/13			0.5	planning	
KF14 O	Recovery Team (incl Sundry DR)	3	3	15	5	30/10/13			2	planning	
KF15 O	<i>Sundry Debtors</i>			0	10						
KF16 O	Taxation	3	2	10	5	09/09/13			9	testing	
KF17 O	Treasury Management	2	2	5	5	11/09/13	08/10/13	05/11/13	8	final	
	Corporate										
COR18	Corporate Governance & AGS	3	1	10	10	02/04/13	01/05/13	26/09/13	7.5	final	AGS work only
COR19	Corporate Access to Services	3	1	15	15	01/05/13	09/10/13		14	draft	
COR20	Corporate Partnerships - LSP	3	1	10	10	05/04/13	24/06/13	15/07/13	9	final	
COR21	Corporate ICT	3	4	15	15						
COR22	Corporate Equality & Diversity	3	4	5	5						
COR23	Corporate Training	2	4	10	10						
COR24	Corporate Performance Management	2	2	10	10	25/06/13	02/09/13	28/10/13	13	final	

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	Operational										
OP25	Housing Rent Deposit Scheme	4	1	5	5	16/04/13	17/06/13		8	draft	
OP26	Street Services	4	3	15	15	20/09/13			5	planning	
OP27	Emergency Planning	3	1	10	10	03/04/13	08/08/13	09/08/13	7.5	final	
OP28	Environmental Health	3	1	15	15	09/05/13	25/09/13	27/09/13	16	final	
OP29	Facilities Management	3	1	10	10	15/04/13	29/05/13	18/07/13	12	final	
OP30	House Sales	3	2	10	10	02/09/13	30/10/13		17	draft	
OP31	Local Land Charges	2	1	10	10	03/04/13	15/05/13	20/05/13	8	final	
OP32	Car Parking Partnership	3	2	10	5	23/05/13			5	planning	
	TOTAL POTENTIAL AUDIT DAYS			305	305						
	<i>Planning - Development Management (control)</i>	3		<i>p</i>	<i>p</i>						
	<i>Economic Development Service</i>	3		<i>p</i>	<i>p</i>						
	<i>Building Control Service and Fees</i>	2		<i>p</i>	<i>p</i>						
	<i>Community Safety - including asb</i>	2		<i>p</i>	<i>p</i>						
	<i>Elections</i>	2		<i>p</i>	<i>p</i>						
	<i>Housing Contract Systems</i>	2		<i>p</i>	<i>p</i>						
	<i>Members' Allowances & Expenses</i>	2		<i>p</i>	<i>p</i>						
	<i>Planning - Support & Advice (planning fees)</i>	2		<i>p</i>	<i>p</i>						
										Potential audit work 2013/14	